

Tony Kershaw
Director of Law and Assurance

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12 March 2020

Regulation, Audit and Accounts Committee

A meeting of the committee will be held at **10.30 am** on **Monday, 23 March 2020** at **County Hall, Chichester PO19 1RQ**.

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Agenda

1. Declarations of Interest

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. Minutes of the last meeting of the Committee (Pages 5 - 8)

The Committee is asked to agree the minutes of the meeting held on 20 January 2020 (cream paper).

3. Urgent Matters

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. Quarterly Review of the Corporate Risk Register (Pages 9 - 20)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report, the current Corporate Risk Register and provide comment as necessary.

5. Internal Audit Progress Report (Pages 21 - 46)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress report for the period to 31 January 2020 as attached.

6. **Approach to Internal Audit Planning 2020/21** (Pages 47 - 52)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the proposed approach to internal audit planning for 2020/21(Q2 – Q4) and the draft internal audit plan activity (Q1).

7. **Internal Audit Charter 2020/21** (Pages 53 - 64)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Charter 2020/21.

8. **External Audit Plan - West Sussex County Council 2019/20** (Pages 65 - 106)

The Committee is asked to consider the External Audit Plan for West Sussex County Council 2019/20.

9. **External Audit Plan - West Sussex Pension Fund 2019/20** (Pages 107 - 138)

The Committee is asked to consider the External Audit Plan for the West Sussex Pension Fund 2019/20.

10. **Regulation of Investigatory Powers** (Pages 139 - 162)

Report by Director of Law and Assurance.

The Committee is asked to review and endorse a revised and updated policy for the Council's use of specific investigation powers available for types of enforcement work for which the Council is responsible.

11. **Financial Statements 2019/20 - Plans and Progress** (Pages 163 - 206)

Report by Director of Finance and Support Services.

The Committee is asked to note the project plans for the County Council and Pension Fund accounts and consider progress to date. The Committee is also asked to consider the draft accounting policies for both the County Council and Pension Fund accounts for 2019/20 and approve them for application in preparing this year's accounts.

12. **Draft Annual Governance Statement 2019/20** (Pages 207 - 238)

Report by Director of Law and Assurance.

The Committee is asked to comment on the draft Annual Governance Statement for 2019-20.

13. **Work Programme 2020/21** (Pages 239 - 242)

Programme by the Director of Law and Assurance.

The Committee is asked to agree the outline work programme for 2020/21 and agree any further priority items for addition to the programme.

14. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 23 July 2020 at County Hall, Chichester.

To all members of the Regulation, Audit and Accounts Committee